|  |                | Application Number  | k Office: U.S. DEPARTMENT OF COMMERCE mation unless it displays a valid OMB control number. |  |  |  |  |
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| 🚿 TRANSMITTAL  |                | Filing Date   | October 2, 2001   |  |  |  |  |
| FORM   |                | First Named Inventor  | Chou, Hou-Pu  |  |  |  |  |
| (to be used for all correspondence after i   | nitial filing) | Group Art Unit  | 1741  |  |  |  |  |
| ,  |                | Examiner Name   | Unassigned  |  |  |  |  |
| otal Number of Pages in This Submission  | n              | Attorney Docket Number  | 020174-002510US   |  |  |  |  |
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| Amendment / Response   | Licens         | ing-related Papers  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                     |   |  |  |  |  |
| After Final  |                | n Routing Slip (PTO/SB/69)  | Proprietary Information   |  |  |  |  |
| Affidavits/declaration(s)  |                | n to Convert to a<br>sional Application   | Status Letter   |  |  |  |  |
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| Express Abandonment Request  | 1=             | nal Disclaimer  | Copies of Cited 6 Cited Reference, Retur<br>Postcard  |  |  |  |  |
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| Information Disclosure Statement   | CD, N          | Number of CD(s)  The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |   |  |  |  |  |
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| Response to Missing Parts/ Incomplete Application  |                | ·   |   |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53  |                |   | <b>2</b>  |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm and Individual name  Signature  Reg. No. 39,496 |                |   |   |  |  |  |  |
| Firm Townsend and T  | ownsend and    | i Crew LLP  | 10 20 4 6 PO  |  |  |  |  |
| Individual name Kent J. Tobin  |                |   | 10. 39,490  |  |  |  |  |
|  | 1/31/02        |   |   |  |  |  |  |
| Date 1/  |                |   |   |  |  |  |  |
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TOWNSEND and TOWNSEND and CREW LLP

By:

Attorney Docket No.: 020174-002510US Client Reference No.: 3368

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hou-Pu Chou, et al.

Application No.: 09/970,122

Filed: October 2, 2001

For: MICROFLUIDIC DEVICES AND

METHODS OF USE

Examiner: Unassigned

Art Unit:

1741

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

-- Hou-Pu Chou, et al.

Application No.: 09/970,122

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Kent J. Tobin Reg. No. 39,496

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422

KJT:ad

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(use as many sheets as necessary)

Sheet 1 of 1

| Complete if Known      |                 |  |  |  |  |
|------------------------|-----------------|--|--|--|--|
| Application Number     | 09/970,122      |  |  |  |  |
| Filing Date            | October 2, 2001 |  |  |  |  |
| First Named Inventor   | Chou, Hou-Pu    |  |  |  |  |
| Group Art Unit         | 1741            |  |  |  |  |
| Examiner Name          | Unassigned      |  |  |  |  |
| Attorney Docket Number | 020174-002510US |  |  |  |  |

|                        |              |   |  | U.S. PATENT DOCUM                               | MENTS  |  |  |
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| Examiner<br>Initials * | Cite<br>No.1 | U.S. Patent Document  Kind Code <sup>2</sup> (if known) |  | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |
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| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |          |    | Name of Patentee<br>or Applicant of<br>Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sub>6</sub> |
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.